



JUL 16 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,206	01/02/2002	Vishwanath R. Lingappa	LING.001.01US

Rae-Venter Law Group, P.C.
260 Sheridan Ave, Suite 440
Palo Alto, CA 94306**RECEIVED**

MAR 14 2002

RAE-VENTER LAW GROUP, P.C.

CONFIRMATION NO. 1150

FORMALITIES LETTER



OC000000007543724

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Softwar , call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspt .gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT



COPY OF PAPERS
ORIGINALLY FILED

ATTORNEY DOCKET NO. UCSF.002.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vishwanath R. Lingappa *et al.*) Examiner: Not Yet Assigned

Serial No.: 10/040,206) Art Unit: Not Yet Assigned

Filed: January 2, 2002)

Title: HIV Capsid Assembly-Associated
Compositions and Methods)

**RESPONSE TO NOTICE TO FILE
MISSING PARTS**

BOX SEQUENCE LISTING

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

The Examiner is hereby advised that the docket number for this application has been changed from LING.001.01US to UCSF.002.01US.

In partial response to the Notice to File Missing Parts mailed February 27, 2002, applicants filed two declarations/powers of attorney and the surcharge on April 17, 2002. Applicants now complete this response with the attached paper and computer readable copies of the sequence listing, the time period for response having been extended by the attached Petition for Extension of Time. Accordingly, transmitted herewith along with the appropriate fee(s) are:

[] An executed Declaration and Power of Attorney along with:

[] A copy of the above-identified application as originally filed.

07/23/2002 WDAMTE1 00000021 10040206

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460.00 GP

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date

July 10, 2002

Signed

L. Sarlat

Printed

L. Sarlat

☐ Surcharge☐ For a large entity \$130.00☐ For a small entity \$65.00**Total Surcharge** \$☐ Additional claim fees: \$☐ Executed Assignments(s) for recordation and the recording fee of \$40.00 per assignment. \$☐ Assignment Recordation Cover Sheet☒ Associate Power of Attorney☒ A Sequence listing☒ Paper copy☒ Computer readable copy☒ Copy of Notice to File Missing Parts of Nonprovisional Application☒ Petition for Extension of Time

<u>Extension of Time Fee</u>	<u>Small Entity</u>	<u>Large Entity</u>
<input type="checkbox"/> One Month	\$ 55	\$ 110
<input type="checkbox"/> Two Months	\$200	\$ 400
<input checked="" type="checkbox"/> Three Months	\$460	\$ 920
<input type="checkbox"/> Four Months	\$720	\$1440
<input type="checkbox"/> Five Months	\$980	\$1960

Extension of Time Fee **\$460.00****Total Fees:** **\$460.00**☒ A check including the amount of the above-indicated TOTAL FEES is attached.☐ Please charge Deposit Account No. 18-0020 in the amount of \$.☐ No fee is required.☒ Conditional Petition for Extension of Time: An extension of time is requested in the present and/or the above-referenced parent application to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

[X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.

[X] Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.

[X] Any parent application processing fees under 37 CFR 1.17.

[X] A **duplicate** copy of this sheet is attached for accounting purposes.

Dated: 7/10/2002



Mircea Achiriloaie, Ph.D.

Reg. No. 48,880

Rae-Venter Law Group, P.C.

P.O. Box 1898

Monterey, CA 93942-1898

Phone: (831) 648-3090

Facsimile: (831) 242-0137

BRV/kp

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RAE-VENTER LAW GROUP PC

P.O. BOX 1898
 MONTEREY, CA 93942
 PH: (831) 648-3090

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CARMEL
 CARMEL-BY-THE-SEA, CA 93921
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7/10/2002

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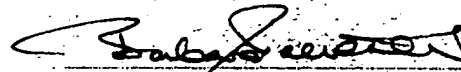
Four Hundred Sixty and 00/100*****

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MEMO

UCSF.002.01US Ext of time



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